

06-18-01

A

EXPRESS MAIL:"Express Mail" mailing label No. EL544961972USDate of Deposit June 14, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Seini Matangi

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

11040 U.S. PTO
09/882777
06/14/01

Patent

Attorney's Docket No. 002010-345**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**UTILITY PATENT
APPLICATION TRANSMITTAL LETTER**

Box PATENT APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed for filing is the utility patent application of James E. Audia, Thomas E. Mabry, Jeffrey A. Nissen, Stacey L. McDaniel, and Warren J. Porter for POLYCYCLIC α -AMINO- ϵ -CAPROLACTAMS AND RELATED COMPOUNDS.

- ☒ Applicant(s) hereby request(s) that the above-captioned application **NOT BE PUBLISHED** under 35 U.S.C. § 122(b) and 37 C.F.R. § 1.211. The undersigned hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.
- ☐ Applicant(s) suggest(s) Figure _ for inclusion on the front page of the patent application publication and patent.

Also enclosed are:

- ☐ _____ sheet(s) of ☐ formal ☐ informal drawing(s);
- ☐ a claim for foreign priority under 35 U.S.C. §§ 119 and/or 365 is ☐ hereby made to _ filed in _ on _;
- ☐ in the declaration;
- ☐ a certified copy of the priority document;
- ☐ a General Authorization for Petitions for Extensions of Time and Payment of Fees;
- ☐ an Assignment document;
- ☐ an Information Disclosure Statement;
- ☒ a patent application bibliographic data sheet; and

**21839**

jc839 U.S. PTO
06/14/01

09882777 "061401"

- ☒ Other: Application Cover Page; and an Acknowledgment Postcard
☐ An ☐ executed ☐ unexecuted declaration of the inventor(s)
☐ also is enclosed ☐ will follow.

- ☐ Please amend the specification by inserting before the first line the sentence --This application claims priority under 35 U.S.C. §§ 119 and/or 365 to filed in on ; the entire content of which is hereby incorporated by reference.--

- ☒ Please amend the specification by inserting before the first line the sentence:

--This application is a Continuation in Part of Application No. 09/337,408 filed on May 11, 2001, which is a Continuing Prosecution Application of U.S. Application No. 09/337,408 filed June 21, 1999, which claims the benefit of U.S. Provisional Application No. 60/160,066, filed June 22, 1998.--

- ☐ Small entity status is hereby claimed.

☐ The filing fee has been calculated as follows ☐ and in accordance with the enclosed preliminary amendment:

C L A I M S					
	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEE
Basic Application Fee					\$710.00 (101)
Total Claims	59	MINUS 20 =	39	× \$18.00 (103) =	702.00
Independent Claims	3	MINUS 3 =	0	× \$80.00 (102) =	
If multiple dependent claims are presented, add \$270.00 (104)					
Total Application Fee					
If small entity status is claimed, subtract 50% of Total Application Fee					
Add Assignment Recording Fee \$ if Assignment document is enclosed					
TOTAL APPLICATION FEE DUE					1412.00

- ☐ This application is being filed without a filing fee. Issuance of a Notice to File Missing Parts of Application is respectfully requested.
- ☒ A check in the amount of \$ 1412.00 is enclosed for the fee due.
- ☐ Charge \$ to Deposit Account No. 02-4800 for the fee due.
- ☒ The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Please address all correspondence concerning the present application to:

Gerald F. Swiss, Esq.
BURNS, DOANE, SWECKER & MATHIS, L.L.P.
P.O. Box 1404
Alexandria, Virginia 22313-1404.

Respectfully submitted,
BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: June 14, 2001

P.O. Box 1404
Alexandria, Virginia 22313-1404
(650)622-2300

By: Lawrence S. Squires

Lawrence S. Squires
Registration No. 24,060

0988277064401

"Express Mail" mailing label No. EL544961972US

Date of Deposit June 14, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service

"Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is

addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

Seini Matangi

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

PATENT

Attorney Docket No. 002010-345

BE IT KNOWN, that WE, JAMES E. AUDIA, resident of Indianapolis, Indiana; THOMAS E. MABRY, resident of Indianapolis, Indiana; JEFFREY A. NISSEN, resident of Indianapolis, Indiana; STACEY L. MCDANIEL, resident of Indianapolis, Indiana; and WARREN J. PORTER., resident of Indianapolis, Indiana; all citizens of the United States of America, have invented new and useful improvements in

POLYCYCLIC α -AMINO- ϵ -CAPROLACTAMS AND RELATED COMPOUNDS

0988277-061401